

FORM PTO-1390  
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. §371**

MERCK 2389

U.S. APPLICATION NO. (If known, see 37 CFR §1.5)

**10/088363**

INTERNATIONAL APPLICATION NO.

PCT/EP00/08935

INTERNATIONAL FILING DATE

13 SEPTEMBER 2000

PRIORITY DATE CLAIMED

16 SEPTEMBER 1999

TITLE OF INVENTION

OPTICAL COMPENSATOR AND LIQUID CRYSTAL DISPLAY

APPLICANT(S) FOR DO/EO/US



COATES, David, et al.

**Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:**

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 C.F.R. §§1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR §1.5) <b>10/088363</b>		INTERNATIONAL APPLICATION NO. PCT/EP00/08935		ATTORNEY'S DOCKET NUMBER MERCK 2389	
17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>BASIC NATIONAL FEE ( 37 CFR §1.492 (a) (1) - (5)):</b>  Search Report has been prepared by the EPO or JPO..... \$890.00 International preliminary examination fee paid to USPTO (37 CFR §1.482)..... \$710.00 No international preliminary examination fee paid to USPTO (37 CFR §1.482) but international search fee paid to USPTO (37 CFR §1.445(a)(2))..... \$740.00 Neither international preliminary examination fee (37 CFR §1.482) nor international search fee (37 CFR §1.445(a)(2)) paid to USPTO..... \$1040.00 International preliminary examination fee paid to USPTO (37 CFR §1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS</b> PTO USE ONLY	
				<b>\$890.00</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 C.F.R. §1.492(e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	12 - 20 =	0	x \$ 18.00	\$0.00	
Independent claims	1 - 3 =	0	x \$ 84.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 280.00		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$890.00</b>	
Reduction of 1/2 for filing by small entity, if applicable. A Verified Small Entity Statement must also be					
<b>SUBTOTAL =</b>				<b>\$890.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than months from the earliest claimed priority date (37 C.F.R. §1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30					
<b>TOTAL NATIONAL FEE =</b>				<b>\$890.00</b>	
Fee for recording the enclosed assignment (37 C.F.R. §1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§3.28, 3.31). \$40.00 per property.					
<b>TOTAL FEES ENCLOSED =</b>				<b>\$890.00</b>	
				Amount to be refunded:	
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a. <input checked="" type="checkbox"/> A check in the amount of <u>\$890.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>13-3402</u> in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-3402</u> . A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 C.F.R. §§1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. §1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO: Customer Number 23,599 PATENT TRADEMARK OFFICE					
 <b>23599</b>			SIGNATURE  <u>Anthony J. Zelano</u> NAME <u>27.969</u> REGISTRATION NUMBER		
Filed: 18 MARCH 2002 AJZ:kmo					

## APPLICATION DATA SHEET

## APPLICATION INFORMATION

Application Type:: REGULAR  
Subject Matter:: UTILITY  
CD-ROM or CD-R?:: NONE  
Title:: OPTICAL COMPENSATOR AND LIQUID  
CRYSTAL DISPLAY  
Attorney Docket Number:: MERCK 2389

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10/088363

JC10 RSG/PTC 18 MAR 2002

**IN THE UNITED STATES DESIGNATED/ELECTED OFFICE**

International Application No. : PCT/EP00/08935  
International Filing Date : 13 SEPTEMBER 2000  
Priority Date(s) Claimed : 16 SEPTEMBER 1999  
Applicant(s) (DO/EO/US) : COATES, David, et al

Title: OPTICAL COMPENSATOR AND LIQUID CRYSTAL DISPLAY

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
Washington, D.C. 20231

SIR:

Prior to calculating the national fee, and prior to examination in the National Phase of the above-identified International application, please amend as follows:

**IN THE CLAIMS:**

3. (Amended) Optical compensator according to claim 1, characterized in that the tilt angle in said O plate retarder varies monotonously in a direction perpendicular to the plane of the film from a minimum value  $\theta_{min}$  at one surface of the film to a maximum value  $\theta_{max}$  at the opposite surface of the film.
5. (Amended) Optical compensator according to claim 3, characterized in that  $\theta_{max}$  is from 10 to 90°.
6. (Amended) Optical compensator according to claims 1, characterized in that the thickness of said O plate is from 0.1 to 10  $\mu m$ .

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7. (Amended) Optical compensator according to claim 1, characterized in that the optical retardation of said O plate is from 6 to 300 nm.

8. (Amended) Optical compensator according to claim 1, characterized in that the thickness of said DAC film is from 20 to 200  $\mu\text{m}$ .

9. (Amended) Optical compensator according to claim 1, characterized in that the on-axis optical retardation of said DAC film is from 2 to 100 nm.

10. (Amended) Optical compensator according to claim 1, characterized in that said O plate comprises a linear or crosslinked polymerized liquid crystalline material with a tilted or splayed structure.

11. (Amended) A liquid crystal display device comprising the following elements

- a liquid crystal cell formed by two transparent substrates having surfaces which oppose each other, an electrode layer provided on the inside of at least one of said two transparent substrates and optionally superposed with an alignment layer, and a liquid crystal medium which is present between the two transparent substrates,

- a polarizer arranged outside said transparent substrates, or a pair of polarizers sandwiching said substrates, and

- at least one optical compensator according to claim 1 being situated between the liquid crystal cell and at least one of said polarizers,

it being possible for the above elements to be separated, stacked, mounted on top of each other, coated on top of each other or connected by means of adhesive layers.

REMARKS

The purpose of this Preliminary Amendment is to eliminate multiple dependent claims in order to avoid the additional fee. Applicants reserve the right to reintroduce claims to canceled combined subject matter.

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached pages are captioned "**Version With Markings to Show Changes Made**".

Respectfully submitted,



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AJZ:kmo

Filed: 18 MARCH 2002



**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

Claims 3 and 5 - 11 were amended as follows:

3. (Amended) Optical compensator according to ~~at least one of claims 1 and 2~~, characterized in that the tilt angle in said O plate retarder varies monotonously in a direction perpendicular to the plane of the film from a minimum value  $\theta_{\min}$  at one surface of the film to a maximum value  $\theta_{\max}$  at the opposite surface of the film.
5. (Amended) Optical compensator according to claim 3 ~~or 4~~, characterized in that  $\theta_{\max}$  is from 10 to 90°.
6. (Amended) Optical compensator according to ~~at least one of claims 1 to 5~~, characterized in that the thickness of said O plate is from 0.1 to 10  $\mu\text{m}$ .
7. (Amended) Optical compensator according to ~~at least one of claims 1 to 6~~, characterized in that the optical retardation of said O plate is from 6 to 300 nm.
8. (Amended) Optical compensator according to ~~at least one of claims 1 to 7~~, characterized in that the thickness of said DAC film is from 20 to 200  $\mu\text{m}$ .
9. (Amended) Optical compensator according to ~~at least one of claims 1 to 8~~, characterized in that the on-axis optical retardation of said DAC film is from 2 to 100 nm.
10. (Amended) Optical compensator according to ~~at least one of claims 1 to 9~~, characterized in that said O plate comprises a linear or crosslinked polymerized liquid crystalline material with a tilted or splayed structure.

11. (Amended) A liquid crystal display device comprising the following elements

- a liquid crystal cell formed by two transparent substrates having surfaces which oppose each other, an electrode layer provided on the inside of at least one of said two transparent substrates and optionally superposed with an alignment layer, and a liquid crystal medium which is present between the two transparent substrates,

- a polarizer arranged outside said transparent substrates, or a pair of polarizers sandwiching said substrates, and

- at least one optical compensator according to ~~at least one of claims 1 to 10~~ being situated between the liquid crystal cell and at least one of said polarizers,

it being possible for the above elements to be separated, stacked, mounted on top of each other, coated on top of each other or connected by means of adhesive layers.

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## Optical Compensator and Liquid Crystal Display

### Field of the Invention

- 5 The invention relates to an optical compensator for liquid crystal displays and to a liquid crystal display comprising such a compensator.

### Background and Prior Art

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Optical compensators are used to improve the optical properties of liquid crystal displays (LCD), such as the contrast ratio and the grey scale representation at large viewing angles. For example in uncompensated displays of the TN or STN type at large viewing angles often a change of the grey levels and even grey scale inversion, as well as a loss of contrast and undesired changes of the colour gamut are observed.

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An overview of the LCD technology and the principles and methods of optical compensation of LCDs is given in US 5,619,352, the entire disclosure of which is incorporated into this application by way of reference.

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As described in US 5,619,352, to improve the contrast of a display at wide viewing angles a negatively birefringent C-plate compensator can be used, however, such a compensator does not improve the greyscale representation of the display. On the other hand, to suppress or even eliminate grey scale inversion and improve the grey scale stability US 5,619,352 suggests to use a birefringent O-plate compensator. An O-plate compensator as described in US 5,619,352 includes an O-plate, and may additionally include one or more A-plates and/or negative C-plates.

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The terms 'O-plate', 'A-plate' and 'C-plate' as used in US 5,619,352 and throughout this invention have the following meanings. An 'O-plate' is an optical retarder utilizing a layer of a positively birefringent

(e.g. liquid crystal) material with its principal optical axis oriented at an oblique angle with respect to the plane of the layer. An 'A-plate' is an optical retarder utilizing a layer of uniaxially birefringent material with its extraordinary axis oriented parallel to the plane of the layer, and its ordinary axis (also called 'a-axis') oriented perpendicular to the plane of the layer, i.e. parallel to the direction of normally incident light. A 'C-plate' is an optical retarder utilizing a layer of a uniaxially birefringent material with its extraordinary axis (also called 'c-axis') perpendicular to the plane of the layer, i.e. parallel to the direction of normally incident light.

As an O-plate retarder for example an optical retardation film (hereinafter abbreviated as ORF) comprising a layer of a liquid crystal or mesogenic material with tilted or splayed structure can be used.

As an A-plate retarder for example a uniaxially stretched polymer film, like for example a stretched polyvinylalcohol (PVA) or polycarbonate (PC) film, can be used. Alternatively, an A-plate retarder may comprise for example a layer of a positively birefringent liquid crystal or mesogenic material with planar orientation.

As a negatively birefringent C-plate retarder for example a uniaxially compressed polymer film can be used. Alternatively, a negatively birefringent C-plate may comprise for example a layer of a liquid crystal or mesogenic material with a planar orientation and a negative birefringence. Typical examples of negatively birefringent liquid crystal materials are various kinds of discotic liquid crystal compounds.

In addition to US 5,619,352, optical compensators comprising one or more O plates are described in prior art in WO 97/44409, WO 97/44702, WO 97/44703 and WO 98/12584, the entire disclosure of which is incorporated into this application by way of reference. WO 97/44703 and WO 98/12584 further suggest to use O plates in combination with a A plate.

WO 97/44703 reports that the use of a compensator comprising a O plate in combination with a A plate, wherein the principal optical axes of both ORFs are oriented at right angles to each other, allows particularly good compensation of a TN-LCD, as it simultaneously reduces the angle dependence of the contrast and the grey scale inversion in the display.

However, when using compensators as described in the above mentioned prior art in combination with liquid crystal displays, especially TN or STN-displays, the improvements of the optical properties of the display, like contrast at wide viewing angles, grey scale level stability, and suppression of grey scale inversion, are still far from sufficient for most applications.

Therefore, it is desirable to have available improved optical compensators to further improve the optical performance of LCDs.

#### Definition of Terms

In connection with optical polarization, compensation and retardation layers, films or plates as described in the present application, the following definitions of terms as used throughout this application are given.

For the sake of simplicity, the term 'liquid crystal material' is used hereinafter for both liquid crystal materials and mesogenic materials, and the term 'mesogen' is used for the mesogenic groups of the material.

The terms 'tilted structure' or 'tilted orientation' means that the optical axis of the film is tilted at an angle  $\theta$  between 0 and 90 degrees relative to the film plane.

The term 'splayed structure' or 'splayed orientation' means a tilted orientation as defined above, wherein the tilt angle additionally varies

monotonously in the range from 0 to 90 °, preferably from a minimum to a maximum value, in a direction perpendicular to the film plane.

5 The term 'low tilt structure' or 'low tilt orientation' means that the optical axis of the film is slightly tilted or splayed as described above, with the average tilt angle throughout the film being between 1 and 10 °.

10 The term 'planar structure' or 'planar orientation' means that the optical axis of the film is substantially parallel to the film plane. This definition also includes films wherein the optical axis is slightly tilted relative to the film plane, with an average tilt angle throughout the film of up to 1 °, and which exhibit the same optical properties as a film wherein the optical axis is exactly parallel, i.e. with zero tilt, to the film plane.

The average tilt angle  $\theta_{ave}$  is defined as follows

20 
$$\theta_{ave} = \frac{\sum_{d'=0}^d \theta'(d')}{d}$$

wherein  $\theta'(d')$  is the local tilt angle at the thickness  $d'$  within the film, and  $d$  is the total thickness of the film.

25 The tilt angle of a splayed film hereinafter is given as the average tilt angle  $\theta_{ave}$ , unless stated otherwise.

30 The term 'helically twisted structure' relates to a film comprising one or more layers of liquid crystal material wherein the mesogens are oriented with their main molecular axis in a preferred direction within molecular sublayers, with this preferred orientation direction in different sublayers being twisted around a helix axis that is substantially perpendicular to the film plane, i.e. substantially parallel to the film normal. This definition also includes orientations where the helix axis is tilted at an angle of up to 2 ° relative to the film normal.

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The term 'homeotropic structure' or 'homeotropic orientation' means that the optical axis of the film is substantially perpendicular to the film plane, i.e. substantially parallel to the film normal. This definition also includes films wherein the optical axis is slightly tilted at an angle of up to 2 ° relative to the film normal, and which exhibit the same optical properties as a film wherein the optical axis is exactly parallel, i.e. with no tilt, to the film normal.

For sake of simplicity, an optical film with a tilted, splayed, low tilted, planar, twisted and homeotropic orientation or structure is hereinafter being shortly referred to as 'tilted film', 'splayed film', 'low tilt film', 'planar film', 'twisted film' and 'homeotropic film', respectively.

Throughout this invention, both a tilted and a splayed film will also be referred to as 'O plate'. A planar film will also be referred to as 'A plate' or 'planar A plate'. A low tilt film will also be referred to as 'low tilt A plate'. A twisted film will also be referred to as 'twisted A plate'.

In tilted, planar and homeotropic optical films comprising uniaxially positive birefringent liquid crystal material with uniform orientation, the optical axis of the film as referred to throughout this invention is given by the orientation direction of the main molecular axes of the mesogens of the liquid crystal material.

In a splayed film comprising uniaxially positive birefringent liquid crystal material with uniform orientation, the optical axis of the film as referred to throughout this invention is given by the projection of the orientation direction of the main molecular axes of the mesogens onto the surface of the film.

The term 'film' as used in this application includes self-supporting, i.e. free-standing, films that show more or less pronounced mechanical stability and flexibility, as well as coatings or layers on a supporting substrate or between two substrates.

The term 'liquid crystal or mesogenic material' or 'liquid crystal or mesogenic compound' should denote materials or compounds comprising one or more rod-shaped, board-shaped or disk-shaped mesogenic groups, i.e. groups with the ability to induce liquid crystal phase behaviour. The compounds or materials comprising mesogenic groups do not necessarily have to exhibit a liquid crystal phase themselves. It is also possible that they show liquid crystal phase behaviour only in mixtures with other compounds, or when the mesogenic compounds or materials, or the mixtures thereof, are polymerized.

#### Brief Description of the Drawings

Figure 1 and 2 depict uncompensated prior art TN-LCD devices according to comparison example 1.

Figure 3 and 4 depict compensated TN-LCD devices with compensators according to example 3 and 4 of the present invention.

Figure 5a and 6a are isocontrast plots of uncompensated prior art TN-LCD devices according to comparison example 1 and 2, respectively.

Figures 5b,6b and 5c,6c are grey level diagrams of uncompensated prior art TN-LCD devices according to comparison example 1 and 2, respectively in horizontal (b) and vertical (c) viewing planes.

Figures 7a and 8a are isocontrast plots of compensated TN-LCD devices according to examples 3 and 4, respectively.

Figures 7b,8b and 7c,8c are grey level diagrams of compensated TN-LCD devices according to example 3 and 4, respectively, in horizontal (b) and vertical (c) viewing planes.



### Summary of the Invention

One aim of the present invention is to provide an optical compensator which has improved performance for compensation of LCDs, is easy to manufacture, in particularly for mass production, and does not have the drawbacks of prior art compensators as described above. Other aims of the present invention are immediately evident to the person skilled in the art from the following detailed description.

The inventors of the present invention have found that the above drawbacks can be overcome, and an optical compensator with superior performance for compensation of the optical properties of liquid crystal displays can be obtained by using a combination of at least one O plate retarder and at least one diacetylcellulose (DAC) film exhibiting the optical properties of a negative C plate retarder.

The use of DAC films as compensators for TN and STN displays has been reported in prior art in US 4701,028 (Clerc). However, there is no suggestion in these documents to use the DAC film in combination with a tilted or splayed optical retarder.

When using an optical compensator according to the present invention in an LCD, the contrast at large viewing angles and the grey level representation of the display are considerably improved, and grey scale inversion is suppressed. In case of coloured displays, the colour stability is considerably improved and changes of the colour gamut are suppressed. Furthermore, a compensator according to the present invention is particularly suitable for mass production.

One object of the present invention is an optical compensator for liquid crystal displays, characterized in that it comprises

- at least one O plate retarder,
- at least one diacetylcellulose (DAC) film having the optical properties of a negative C plate retarder.

Another object of the invention is a liquid crystal display device comprising the following elements

- 5        - a liquid crystal cell formed by two transparent substrates having surfaces which oppose each other, an electrode layer provided on the inside of at least one of said two transparent substrates and optionally superposed with an alignment layer, and a liquid crystal medium which is present between the two transparent substrates,
- 10       - a polarizer arranged outside said transparent substrates, or a pair of polarizers sandwiching said substrates, and
- 15       - at least one optical compensator according to the present invention, being situated between the liquid crystal cell and at least one of said polarizers,
- 20       it being possible for the above elements to be separated, stacked, mounted on top of each other or connected by means of adhesive layers in any combination of these means of assembly.

#### Detailed Description of the Invention

- 25       Preferred embodiments of the present invention relate to an optical compensator comprising at least one O plate and at least one DAC film as described above, wherein
- 30       - the average tilt angle  $\theta_{ave}$  in said O plate is from 2 to 88 °, preferably from 30 to 60 °
- the tilt angle  $\theta$  in the O plate varies monotonously in a direction perpendicular to the plane of the film,
- the tilt angle  $\theta$  in the O plate varies from a minimum value  $\theta_{min}$  at one surface of the film to a maximum value  $\theta_{max}$  at the opposite
- 35       surface of the film,
- $\theta_{min}$  in the O plate is from 0 to 80°, preferably from 1 to 20°,

- 5
- $\theta_{\max}$  in the O plate is from 10 to 90°, preferably from 40 to 90°,
  - the thickness d of the O plate is from 0.1 to 10  $\mu\text{m}$ , in particular from 0.2 to 5  $\mu\text{m}$ , very preferably from 0.3 to 3  $\mu\text{m}$ ,
  - the optical retardation of the O plate is from 6 to 300 nm, in particular from 10 to 200 nm, very preferably from 20 to 120 nm,
  - the O plate comprises a linear or crosslinked liquid crystalline polymer,
- 10
- the thickness of the DAC film is from 10 to 300  $\mu\text{m}$ , in particular from 20 to 200  $\mu\text{m}$ , very preferably from 50 to 150  $\mu\text{m}$ ,
  - the on-axis optical retardation of the DAC film, i.e. the retardation for light at normal incidence, is from 2 to 100 nm, in particular from 3 to 50 nm, very preferably from 5 to 20 nm,
- 15
- the optical retardation of the DAC film for light with an angle of incidence of 60° is from 20 to 250 nm, in particular from 30 to 200 nm, very preferably from 45 to 150 nm,

20 Especially preferred is an optical compensator comprising one O plate and one negatively birefringent DAC film as a negative C plate.

25 A further preferred embodiment of the present invention relates to a liquid crystal display comprising a liquid crystal cell, a pair of polarizers sandwiching the cell, and one inventive compensator as described above and below located on each side of the liquid crystal (LC) cell between the cell and the polarizer.

Especially preferred are displays wherein

- 30
- the LC cell is a twisted nematic or supertwisted nematic cell,
  - the O plate is facing the polarizer and the DAC film is facing the LC cell,
  - the optical axis of the O plate is parallel or at right angles to the optical axis of the liquid crystal medium at the nearest surface of
- 35 the liquid crystal cell and to the polarization direction of the polarizers,

- the O plate is situated with its low tilt surface facing the LC cell,

The inventive optical compensators can be used for compensation of conventional displays, in particular those of the twisted nematic or super twisted nematic mode, such as TN, HTN, STN or AMD-TN displays, in displays of the IPS (in plane switching) mode, which are also known as 'super TFT' displays, in displays of the DAP (deformation of aligned phases) or VA (vertically aligned) mode, like e.g. ECB (electrically controlled birefringence), CSH (colour super homeotropic), VAN or VAC (vertically aligned nematic or cholesteric) displays, in displays of the bend mode or hybrid type displays, like e.g. OCB (optically compensated bend cell or optically compensated birefringence), R-OCB (reflective OCB), HAN (hybrid aligned nematic) or  $\pi$ -cell displays.

Especially preferably the compensators are used for compensation of TN, HTN and STN displays.

In the following, the invention will exemplarily be described in detail for compensation of a TN display.

**Fig. 1** depicts an uncompensated standard type TN display device in its off-state, i.e. when no voltage is applied, comprising a TN cell 1 with a liquid crystal layer in the twisted nematic state sandwiched between two transparent electrodes (which are not shown here), and a pair of linear polarizers 2,2'. The twisted nematic orientation of the liquid crystal layer is schematically depicted by the mesogens 1a. The dashed lines 1b and 1c represent the orientation direction of the mesogens 1a that are adjacent to the cell walls of the TN cell 1.

In the display device shown in **Fig. 1**, the polarization axes of the linear polarizers 2,2' are oriented at right angles to the optical axis 1b,1c of the liquid crystal medium at the nearest surface of the liquid crystal cell 1, respectively. This orientation of the polarizers relative to the TN cell is hereinafter also generally referred to as 'E mode'.

Fig. 2 depicts an uncompensated standard type TN display device like that of Fig. 1, but wherein the polarization axes of the linear polarizers 2,2' are oriented parallel to the optical axis 1b,1c of the liquid crystal medium at the nearest surface of the liquid crystal cell 1, respectively. This orientation of the polarizers relative to the TN cell is hereinafter also generally referred to as 'O mode'.

Fig. 3 and Fig. 4 schematically depict compensated TN-LCD devices according to a preferred embodiment of the present invention in the off-state, with Fig. 3 showing a device in the O mode and Fig. 4 showing a device in the E mode, as explained above.

The devices in both Fig. 3 and Fig. 4 consist of a TN cell 1 with a liquid crystal layer in a twisted nematic state sandwiched between two transparent electrodes (which are not shown here), a pair of linear polarizers 2,2' and two compensators, each compensator consisting of a splayed O plate 3,3' and a negatively birefringent DAC film having the optical performance of a negative C plate.

In the devices exemplarily shown in Fig. 3 and Fig. 4 the O plates 3,3' are provided directly on the DAC films 4,4' which serve as substrates for the O plates.

The stacks of optical components in the devices shown in Fig. 1 to 4 are symmetrical, hence incoming light may enter the device from either side.

The DAC films 4,4', as an example, are generally uniaxially compressed in a direction perpendicular to the film plane as a consequence of the manufacturing process to give a negative C plate optical structure. The optical axis of the DAC films is then parallel to the compression direction.

The O plates 3,3' consist, as an example, of a layer of polymerized liquid crystal material with splayed structure. The splayed structure is schematically depicted by the mesogens 3a and 3a' which are

oriented with their main molecular axis tilted at an angle  $\theta$  relative to the plane of the layer, wherein the tilt angle  $\theta$  increases in a direction normal to the film, starting with a minimum value  $\theta_{\min}$  on the side of the O plate 3,3' facing the TN cell 1.

5

The dashed lines 3b and 3b' represent the projection of the orientation directions of the mesogens 3a and 3a', respectively, in different regions of the O plates 3,3' onto the surfaces of the respective O plates 3,3'. The dashed lines 3b,3b' are also identical with the principal optical axis of the respective O plates 3,3'. In the devices shown in Fig. 3 and 4, the principal optical axes of the O plates 3,3' are oriented parallel to the polarization direction of the respective adjacent linear polarizer 2,2', and parallel to the respective adjacent orientation direction 1b,1c of the mesogens 1a in the TN cell 1.

10

15

In the devices shown in Fig. 3 and 4, the mesogens at the surface of the O plate 3,3' facing the TN cell 1 exhibit a planar orientation, i.e. the minimum tilt angle  $\theta_{\min}$  is substantially 0 degrees. However, other values of  $\theta_{\min}$  are also possible.

20

In the O plate according to the preferred embodiments as shown e.g. in Fig. 3 and 4, the minimum tilt angle  $\theta_{\min}$  is preferably from 0 to 80°, in particular from 1 to 20°, very preferably from 1 to 10° and most preferably from 1 to 5°. The maximum tilt angle  $\theta_{\max}$  in an O plate according to these preferred embodiments is preferably from 10 to 90°, in particular from 20 to 90°, very preferably from 30 to 90°, most preferably from 40 to 90°.

25

30

Apart from the preferred embodiments as depicted in Fig. 3 and 4, other combinations and stack formats of the O plates and DAC films are also possible.

35

For example, in the preferred devices shown in Fig. 3 and 4, the O plate 3 and the adjacent DAC film 4, and/or the O plate 3' and the adjacent DAC film 4', are mutually exchangeable with each other.

Furthermore, the compensators or entire ORF stacks on one side of the TN cell are mutually exchangeable with the compensators or entire film stacks on the opposite side of the TN cell.

- 5 In the inventive devices exemplarily shown in Fig. 3 and 4, the optical axes **3b,3b'** of the O plates **3,3'** are either parallel or at right angles to the orientation direction **1b,1c** of the mesogens **1a** in the TN cell **1** and to the polarization direction of the polarizers **2,2'**.
- 10 In another preferred embodiment of the present invention, the optical axes **3b,3b'** of the O plates **3,3'** are twisted within the film plane at an angle  $\delta$  relative to the optical axes of the the orientation direction **1b,1c** of the mesogens **1a** in the TN cell **1** and to the polarization direction of the polarizers **2,2'**. The absolute value of said twist angle
- 15  $\delta$  is preferably from 1 to 15 °, very preferably from 5 to 10 °.

Further to the preferred embodiments shown in Fig. 3 and 4, a compensator according to the present invention may also comprise more than one O plate and/or more than one DAC film.

- 20 In case the inventive compensator comprises two or more O plates, the optical axes of the O plates can be parallel one to another, or be oriented at an angle with one another. Preferably the optical axes of the O plates are oriented either parallel or at right angles to each other.
- 25

- In case an inventive compensator comprises two or more O plates, each O plate can be arranged relative to the closest successive O plate such that their respective surfaces with minimum tilt angle  $\theta_{\min}$
- 30 are facing each other, or such that their respective surfaces with maximum tilt angle  $\theta_{\max}$  are facing each other, or such that the surface of a first O plate with minimum tilt angle  $\theta_{\min}$  is facing the surface of the closest successive O plate with maximum tilt angle  $\theta_{\max}$ .

- 35 Further preferred arrangements of two or more O plates in an inventive compensator are those as described in WO 98/12584, in

particular those according to the preferred embodiments described in WO 98/12584 on pages 8-11 and in Figures 1a, 1b and 1c.

The devices shown in Fig. 3 and 4 comprises splayed O plates.

5 Alternatively, it is possible to use tilted, but not splayed, O plates instead of, or in addition to splayed O plates in the inventive LC displays. Preferably, however the inventive LC displays do comprise one or more splayed O plates.

10 The DAC films are preferably negatively birefringent films, which can be obtained by the uniaxial compression associated with the manufacturing process of commercially available DAC film.

15 Negatively birefringent DAC films are commercially available e.g. from Clarifoil, Derby, UK.

20 As an O plate for the inventive compensator it is possible to use an optical film comprising a polymerized liquid crystal material with tilted or splayed structure, as described in the US 5,619,352, WO 97/44409, WO 97/44702, WO 97/44703 or WO 98/12584, with the entire disclosure of these documents being incorporated into this application by way of reference.

25 As an O plate, it is also possible to use a multilayer film comprising two or more sublayers of polymerized liquid crystal material, with each sublayer having a tilted structure with constant tilt angle, wherein said tilt angle increases or decreases monotonously from one sublayer to the next sublayer throughout the multilayer.

30 In a preferred embodiment of the invention, the O plate is a tilted or splayed optical retardation film (ORF) film as described in WO 98/12584, or a film prepared in analogy to the methods disclosed therein. According to the WO 98/12584, an ORF with tilted or splayed structure can be obtained by coating a layer of a  
35 polymerizable mesogenic material onto a substrate or between two substrates, aligning the material into a tilted or splayed orientation,



and polymerizing the material by exposure to heat or actinic radiation.

5 Alternatively it is possible to use as an O plate a liquid crystal film as described in WO 96/10770, which is prepared from a polymerizable liquid crystal material with a smectic A or smectic C phase and a nematic phase at higher temperatures. The polymerizable liquid crystal material is applied in its nematic phase onto a substrate that is e.g covered with an alignment layer of obliquely deposited SiO<sub>2</sub>,  
10 and lowering the temperature into smectic C phase of the material. This leads to an increase of the tilt angle, as the material adopts its naturally tilted smectic C structure, which is then fixed by polymerization of the liquid crystal material. The above preparation method and possible variations thereof are described in detail in WO  
15 96/10770, the entire disclosure of which is incorporated into this application by way of reference.

It is also possible to use as an O plate an inorganic thin film with a tilted microstructure, which can be obtained by oblique vapor  
20 deposition of an inorganic material, e.g. Ta<sub>2</sub>O<sub>5</sub>, as described in WO 96/10773.

Particularly preferred are inventive compensators wherein the O plate is provided directly on the DAC film, or sandwiched between  
25 two DAC films, which serve as substrates for the O plate.

As linear polarizer, a standard type commercially available polarizer can be used. In a preferred embodiment of the present invention the linear polarizer is a low contrast polarizer. In another preferred  
30 embodiment of the present invention the linear polarizer is a dichroic polarizer, like a dyed polarizer.

The optical compensator may also comprise one or more retardation films selected from planar A plates, low tilted A plates and highly  
35 twisted A plates and homeotropic films.

5 The individual optical components in the inventive compensators and displays, such as the liquid crystal cell, the individual retarders and the linear polarizers, can be separated or can be laminated to other components. They can be stacked, mounted on top of each other or be connected e.g. by means of adhesive layers.

10 It is also possible that stacks of two or more retarders are prepared by coating the liquid crystalline material of a retarder directly onto an adjacent retarder, the latter serving as substrate.

15 The optical compensator and/or the display device according to the present invention may further comprise one or more adhesive layers provided to the individual optical components like the liquid crystal cell, the polarizers and the different retarders.

20 In case the polymerized liquid crystal material in the O plate is a polymer with high adhesion, separate adhesive layers may also be omitted. Highly adhesive polymers are for example liquid crystal polyepoxides. Furthermore, liquid crystal linear polymers or crosslinked polymers with low degree of crosslinking show higher adhesion than highly crosslinked polymers. The above highly adhesive liquid crystal polymers are therefore preferred for specific applications, especially for those which do not tolerate additional adhesive layers.

25 The inventive compensator may also comprise one or more protective layers provided on the surface of the individual optical components described above.

30 In case of the O plate, the polymerizable material preferably consists essentially of achiral polymerizable mesogenic compounds.

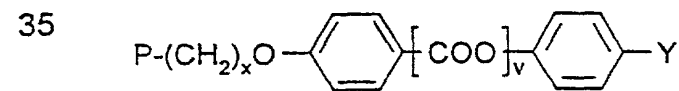
35 Preferably a polymerizable mesogenic material is used that comprises at least one polymerizable mesogen having one polymerizable functional group and at least one polymerizable mesogen having two or more polymerizable functional groups.

In another preferred embodiment the polymerizable material comprises polymerizable mesogenic compounds having two or more polymerizable functional groups (di- or multireactive or di- or multifunctional compounds). Upon polymerization of such a mixture a three-dimensional polymer network is formed. An optical retardation film made of such a network is self-supporting and shows a high mechanical and thermal stability and a low temperature dependence of its physical and optical properties.

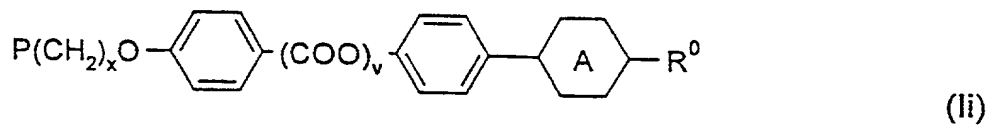
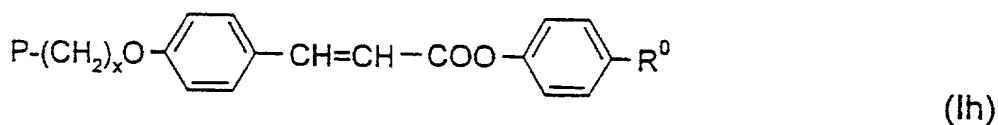
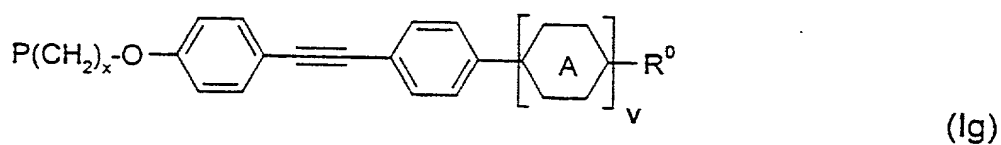
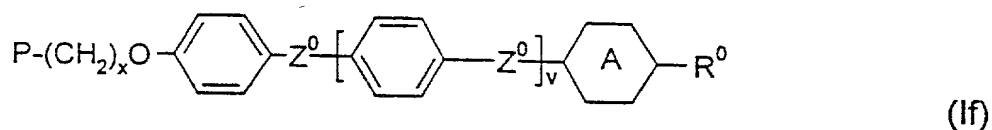
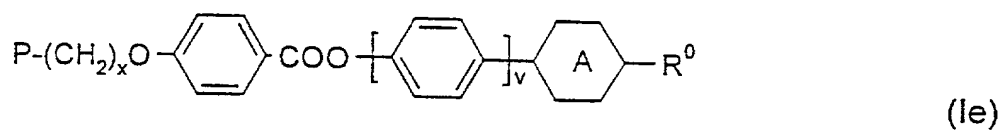
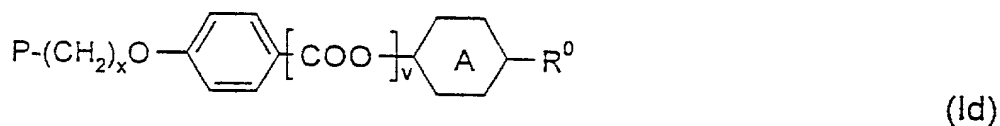
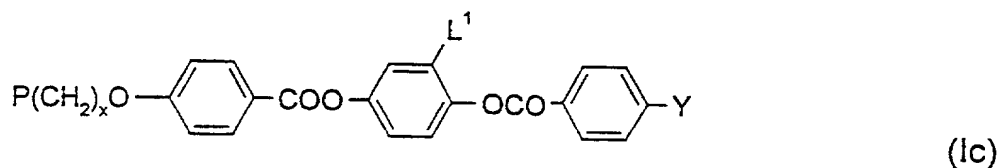
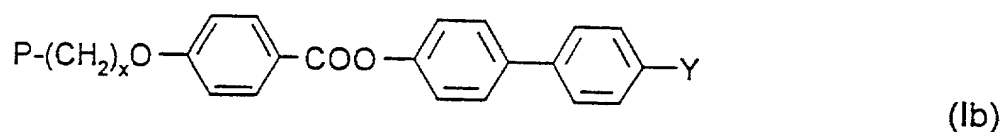
By varying the concentration of the multifunctional mesogenic or non mesogenic compounds the crosslink density of the polymer film and thereby its physical and chemical properties such as the glass transition temperature, which is also important for the temperature dependence of the optical properties of the optical retardation film, the thermal and mechanical stability or the solvent resistance can be tuned easily.

The polymerizable mesogenic mono-, di- or multireactive compounds used for the instant invention can be prepared by methods which are known per se and which are described, for example, in standard works of organic chemistry such as, for example, Houben-Weyl, Methoden der organischen Chemie, Thieme-Verlag, Stuttgart. Typical examples are described for example in WO 93/22397; EP 0 261 712; DE 19504224; DE 4408171 and DE 4405316. The compounds disclosed in these documents, however, are to be regarded merely as examples that do not limit the scope of this invention.

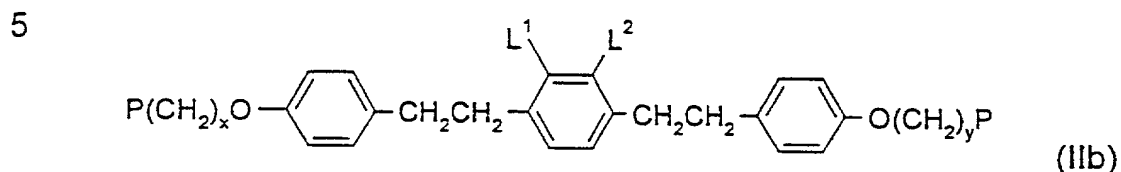
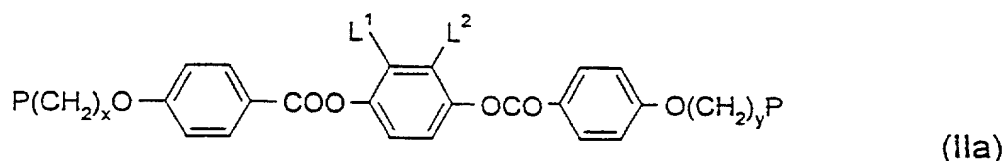
Examples representing especially useful monoreactive polymerizable mesogenic compounds are shown in the following list of compounds, which should, however, be taken only as illustrative and is in no way intended to restrict, but instead to explain the present invention:



(Ia)



Examples of useful direactive polymerizable mesogenic compounds are shown in the following list of compounds, which should, however, be taken only as illustrative and is in no way intended to restrict, but instead to explain the present invention



10 In the above formulae, P is a polymerizable group, preferably an acryl, methacryl, vinyl, vinyloxy, propenyl ether, epoxy or styryl group, x and y are each independently 1 to 12, A is 1,4-phenylene that is optionally mono- di or trisubstituted by L<sup>1</sup> or 1,4-cyclohexylene, v is 0 or 1, Z<sup>0</sup> is -COO-, -OCO-, -CH<sub>2</sub>CH<sub>2</sub>- or a single  
15 bond, Y is a polar group, R<sup>0</sup> is an unpolar alkyl or alkoxy group, and L<sup>1</sup> and L<sup>2</sup> are each independently H, F, Cl, CN or an optionally halogenated alkyl, alkoxy, alkylcarbonyl, alkoxy carbonyl or alkoxy carbonyloxy group with 1 to 7 C atoms.

20 The term 'polar group' in this connection means a group selected from F, Cl, CN, NO<sub>2</sub>, OH, OCH<sub>3</sub>, OCN, SCN, an optionally fluorinated carbonyl or carboxyl group with up to 4 C atoms or a mono- oligo- or polyfluorinated alkyl or alkoxy group with 1 to 4 C atoms.

25 The term 'unpolar group' means an alkyl group with 1 or more, preferably 1 to 12 C atoms or an alkoxy group with 2 or more, preferably 2 to 12 C atoms.

30 The polymerizable mesogenic material is coated onto substrate, aligned into a uniform orientation and polymerized according to a process as described in WO 98/12584 or GB 2,315,072, thereby permanently fixing the orientation of the polymerizable mesogenic material.

35 As a substrate for example a glass or quartz sheet or a plastic film or sheet can be used. It is also possible to put a second substrate on

- top of the coated mixture prior to and/or during and/or after polymerization. The substrates can be removed after polymerization or not. When using two substrates in case of curing by actinic radiation, at least one substrate has to be transmissive for the actinic radiation used for the polymerization. Isotropic or birefringent substrates can be used. In case the substrate is not removed from the polymerized film after polymerization, preferably isotropic substrates are used.
- Preferably at least one substrate is a plastic substrate such as for example a film of polyester such as polyethyleneterephthalate (PET), of polyvinylalcohol (PVA), polycarbonate (PC) or triacetylcellulose (TAC), especially preferably a PET film or a TAC film. As a birefringent substrate for example an uniaxially stretched plastic film can be used. For example PET films are commercially available from ICI Corp. under the trade name Melinex.
- In a preferred embodiment, a negatively birefringent DAC film is used as substrate for preparation of the O plate, with the substrate, or in case two substrates are used at least one of the substrates, not being removed after polymerization.
- The polymerizable mesogenic material can also be dissolved in a solvent, preferably in an organic solvent. The solution is then coated onto the substrate, for example by spin-coating or other known techniques, and the solvent is evaporated off before polymerization. In most cases it is suitable to heat the mixture in order to facilitate the evaporation of the solvent.
- Planar alignment can be achieved for example by shearing the material, e.g. by means of a doctor blade. It is also possible to apply an alignment layer, for example a layer of rubbed polyimide or sputtered  $\text{SiO}_x$ , on top of at least one of the substrates.
- Planar alignment of the polymerizable mesogenic material can also be achieved by directly rubbing the substrate, i.e. without applying an

additional alignment layer. This is a considerable advantage as it allows a significant reduction of the production costs of the optical retardation film. In this way a low tilt angle can easily be achieved.

5 For example rubbing can be achieved by means of a rubbing cloth, such as a velvet cloth, or with a flat bar coated with a rubbing cloth. In a preferred embodiment of the present invention rubbing is achieved by means of a at least one rubbing roller, like e.g. a fast spinning roller that is brushing across the substrate, or by putting the  
10 substrate between at least two rollers, wherein in each case at least one of the rollers is optionally covered with a rubbing cloth. In another preferred embodiment of the present invention rubbing is achieved by wrapping the substrate at least partially at a defined angle around a roller that is preferably coated with a rubbing cloth.

15 The polymerizable composition according to the the present invention may also comprise one or more surfactants to improve planar alignment. Suitable surfactants are described for example in J. Cognard, Mol.Cryst.Liq.Cryst. 78, Supplement 1, 1-77 (1981).  
20 Particularly preferred are non-ionic surfactants, such as the commercially available fluorocarbon surfactants Fluorad 171 (from 3M Co.), or Zonyl FSN (from DuPont). Preferably the polymerizable mixture comprises 0.01 to 5 %, in particular 0.1 to 3 %, very preferably 0.2 to 2 % by weight of surfactants.

25 The orientation of the mesogenic material depends, inter alia, on the film thickness, the type of substrate material, and the composition of the polymerizable mesogenic material. It is therefore possible, by changing these parameters, to control the structure of the ORF, in particular  
30 specific parameters such as the tilt angle and its degree of variation.

Thus, for the preparation of the O plate, it is possible to adjust the alignment profile in the direction perpendicular to the film plane by appropriate selection of the ratio of monoreactive mesogenic  
35 compounds, i.e. compounds with one polymerizable group, and

direactive mesogenic compounds, i.e. compounds with two polymerizable groups.

5 For an O plate with strong splay, i.e. a large variation of the tilt angle throughout the thickness of the film, preferably the ratio of mono- to direactive mesogenic compounds should be in the range of 6:1 to 1:2, preferably 3:1 to 1:1, especially preferably about 3:2.

10 Another effective means to adjust the desired splay geometry is to use a defined amount of dielectrically polar polymerizable mesogenic compounds in the polymerizable mesogenic material. These polar compounds can be either monoreactive or direactive. They can be either dielectrically positive or negative. Most preferred are dielectrically positive and monoreactive mesogenic compounds.

15 The amount of the polar compounds in the mixture of polymerizable mesogenic material is preferably 1 to 80 %, especially 3 to 60 %, in particular 5 to 40 % by weight of the total mixture.

20 Polar mesogenic compound in this connection means a compound with one or more polar groups as defined above. Especially preferred are monoreactive polar compounds selected from formulae Ia to Ic given above.

25 Furthermore, these polar compounds preferably exhibit a high absolute value of the dielectric anisotropy  $\Delta\epsilon$ , which is typically higher than 1.5. Thus, dielectrically positive compounds preferably exhibit  $\Delta\epsilon > 1.5$  and dielectrically negative polar compounds preferably exhibit  $\Delta\epsilon < -1.5$ . Very preferred are dielectrically positive polar  
30 compounds with  $\Delta\epsilon > 3$ , in particular with  $\Delta\epsilon > 5$ .

Polymerization of the polymerizable mesogenic material takes place by exposing it to heat or actinic radiation. Actinic radiation means  
35 irradiation with light, like UV light, IR light or visible light, irradiation with X-rays or gamma rays or irradiation with high energy particles,



such as ions or electrons. Preferably polymerization is carried out by UV irradiation.

5 As a source for actinic radiation for example a single UV lamp or a set of UV lamps can be used. When using a high lamp power the curing time can be reduced. Another possible source for actinic radiation is a laser, like e.g. a UV laser, an IR laser or a visible laser.

10 The polymerization is carried out in the presence of an initiator absorbing at the wavelength of the actinic radiation. For example, when polymerizing by means of UV light, a photoinitiator can be used that decomposes under UV irradiation to produce free radicals or ions that start the polymerization reaction.

15 When curing polymerizable mesogens with acrylate or methacrylate groups, preferably a radical photoinitiator is used, when curing polymerizable mesogens vinyl and epoxide groups, preferably a cationic photoinitiator is used.

20 It is also possible to use a polymerization initiator that decomposes when heated to produce free radicals or ions that start the polymerization.

25 As a photoinitiator for radical polymerization for example the commercially available Irgacure 651, Irgacure 184, Darocure 1173 or Darocure 4205 (all from Ciba Geigy AG) can be used, whereas in case of cationic photopolymerization the commercially available UVI 6974 (Union Carbide) can be used.

30 The polymerizable mesogenic material preferably comprises 0.01 to 10 %, very preferably 0.05 to 5 %, in particular 0.1 to 3 % of a polymerization initiator. UV photoinitiators are preferred, in particular radicalic UV photoinitiators.

35 The curing time is dependending, inter alia, on the reactivity of the  
polymerizable mesogenic material, the thickness of the coated layer,

the type of polymerization initiator and the power of the UV lamp. The curing time according to the invention is preferably not longer than 10 minutes, particularly preferably not longer than 5 minutes and very particularly preferably shorter than 2 minutes. For mass  
5 production short curing times of 3 minutes or less, very preferably of 1 minute or less, in particular of 30 seconds or less, are preferred.

In addition to polymerization initiators the polymerizable material may also comprise one or more other suitable components such as, for  
10 example, catalysts, stabilizers, chain-transfer agents, co-reacting monomers or surface-active compounds. In particular the addition of stabilizers is preferred in order to prevent undesired spontaneous polymerization of the polymerizable material for example during storage.

As stabilizers in principal all compounds can be used that are known  
15 to the skilled in the art for this purpose. These compounds are commercially available in a broad variety. Typical examples for stabilizers are 4-ethoxyphenol or butylated hydroxytoluene (BHT).

Other additives, like e.g. chain transfer agents, can also be added to  
20 the polymerizable material in order to modify the physical properties of the inventive polymer film. When adding a chain transfer agent, such as monofunctional thiol compounds like e.g. dodecane thiol or multifunctional thiol compounds like e.g. trimethylpropane tri(3-  
25 mercaptopropionate), to the polymerizable material, the length of the free polymer chains and/or the length of the polymer chains between two crosslinks in the inventive polymer film can be controlled. When the amount of the chain transfer agent is increased, the polymer chain length in the obtained polymer film is decreasing.

It is also possible, in order to increase crosslinking of the polymers,  
30 to add up to 20% of a non mesogenic compound with two or more polymerizable functional groups to the polymerizable material alternatively or in addition to the di- or multifunctional polymerizable  
35 mesogenic compounds to increase crosslinking of the polymer.

Typical examples for difunctional non mesogenic monomers are alkyl diacrylates or alkyl dimethacrylates with alkyl groups of 1 to 20 C atoms. Typical examples for non mesogenic monomers with more than two polymerizable groups are trimethylpropanetrimethacrylate or pentaerythritoltetraacrylate.

In another preferred embodiment the mixture of polymerizable material comprises up to 70%, preferably 3 to 50 % of a non mesogenic compound with one polymerizable functional group.

Typical examples for monofunctional non mesogenic monomers are alkyl acrylates or alkyl methacrylates.

It is also possible to add, for example, a quantity of up to 20% by weight of a non polymerizable liquid-crystalline compound to adapt the optical properties of the optical retardation film.

In some cases it is of advantage to apply a second substrate to aid alignment and exclude oxygen that may inhibit the polymerization. Alternatively the curing can be carried out under an atmosphere of inert gas. However, curing in air is also possible using suitable photoinitiators and high UV lamp power. When using a cationic photoinitiator oxygen exclusion most often is not needed, but water should be excluded. In a preferred embodiment of the invention the polymerization of the polymerizable mesogenic material is carried out under an atmosphere of inert gas, preferably under a nitrogen atmosphere.

To obtain a polymer film with the desired molecular orientation the polymerization has to be carried out in the liquid crystal phase of the polymerizable mesogenic material. Therefore, preferably polymerizable mesogenic compounds or mixtures with low melting points and broad liquid crystal phase ranges are used. The use of such materials allows to reduce the polymerization temperature, which makes the polymerization process easier and is a considerable advantage especially for mass production.

The selection of suitable polymerization temperatures depends mainly on the clearing point of the polymerizable material and inter alia on the softening point of the substrate. Preferably the polymerization temperature is at least 30 degrees below the clearing temperature of the polymerizable mesogenic mixture.

Polymerization temperatures below 120 °C are preferred. Especially preferred are temperatures below 90 °C, in particular temperatures of 60 °C or less.

The invention is further explained by the following examples. Therein, the following abbreviations are used:

$\theta$	tilt angle [degrees]
$\phi$	twist angle [degrees]
$p$	helical pitch [nm]
$n_e$	extraordinary refractive index (at 20 °C and 589 nm)
$n_o$	ordinary refractive index (at 20 °C and 589 nm)
$\epsilon_{  }$	dielectric constant parallel to the long molecular axis (at 20 °C and 1 kHz)
$\epsilon_{\perp}$	dielectric constant perpendicular to the long molecular axis (at 20 °C and 1 kHz)
$K_{11}$	first elastic constant
$K_{22}$	second elastic constant
$K_{33}$	third elastic constant
$V_{on}$	threshold voltage [V]
$V_{off}$	saturation voltage [V]
$d$	layer thickness [ $\mu\text{m}$ ]

### Example 1 - Comparison Example

An uncompensated standard type TN-LCD device of the E mode as depicted in Fig. 1, comprising a TN cell 1 and a pair of linear polarizers 2,2' has the following parameters

$n_e$	1.5700
$n_o$	1.4785
$\varepsilon_{\perp}$	3.5
$\varepsilon_{\parallel}$	10.8
$K_{11}$	11.7
$K_{22}$	5.7
$K_{33}$	15.7
$d$	5.25 $\mu\text{m}$
pre-tilt	2 °
$V_{\text{on}}$	4.07 V
$V_{\text{off}}$	1.56 V

Fig. 5a depicts the isocontrast plot of the display, showing ranges of identical contrast in steps of 10 %. The isocontrast plots are measured as luminance at  $V_{\text{on}}$  / luminance at  $V_{\text{off}}$ .

Fig. 5b and 5c show 8 grey levels (given as transmission versus viewing angle), on a linear luminance scale in horizontal and vertical viewing planes, respectively. Ideally, the grey level lines should be parallel, where they cross, grey level inversion occurs. The latter is a serious disadvantage especially for the darker grey levels. It can be seen in Fig. 5b that levels 7 and 8 are very poor even at low angles such as 30 ° in horizontal direction, and in Fig. 5c that the levels cross at angles of 30 ° and higher in vertical direction.

The polarisers can be any standard polariser used in normal LCD displays.

$V_{\text{on}}$ ,  $V_{\text{off}}$  correspond to values generally adopted in TN and STN-LCD displays.

### Example 2 - Comparison Example

5 An uncompensated standard type TN-LCD device of the O mode as depicted in Fig. 2, comprising a TN cell 1 and a pair of linear polarizers 2,2', has the parameters as given in example 1.

10 Fig. 6a depicts the isocontrast plot of the display, showing ranges of identical contrast in steps of 10 %. The isocontrast plots are measured as luminance at  $V_{on}$  / luminance at  $V_{off}$ .

15 Fig. 6b and 6c show the grey levels in horizontal and vertical viewing planes, respectively. It can be seen in Fig. 6b that levels 7 and 8 are very poor even at low angles such as 30 ° in horizontal direction, and in Fig. 6c that the levels cross at angles of 30 ° and higher in vertical direction.

### Example 3

20 A compensated TN-LCD device of the E mode according to the present invention as depicted in Fig. 3 consists of a TN cell 1 with a liquid crystal layer in a twisted nematic state, a pair of linear polarizers 2,2', two splayed O plates 3,3' and two DAC films 4,4' having the optical properties of a negative C plate and serving as substrates for the O plates 3,3'.

The TN cell 1 and the polarizers 2,2' are as defined in example 1.

30 The O plates 3,3' exhibit a splayed structure with the tilt angle  $\theta$  ranging from  $\theta_{min}$  on one surface to  $\theta_{max}$  on the opposite surface.

The parameters of the O plates 3,3' are as follows

35  $\theta_{min}$             2 °  
 $\theta_{max}$             88 °

	$\theta_{ave}$	45 °
	$n_e$	1.610
	$n_o$	1.495
	$d$	1.2 $\mu\text{m}$
5	retardation	69 nm

The parameters of the DAC films 4,4' are as follows

	$n_x$	1.4816
10	$n_y$	1.4817
	$n_z$	1.4797
	$d$	120 $\mu\text{m}$
	retardation	12 nm (on-axis)
		76 nm (at 60 ° incidence)

wherein the x and y are directions parallel and z is the direction perpendicular to the plane of the DAC film.

**Fig. 7a** shows the isocontrast plot of the display, **Fig. 7b** and **7c** show the grey levels (transmission versus viewing angle) in horizontal and vertical directions respectively.

In the isocontrast plot **Fig. 7a** it can be seen that the display has a viewing angle that is significantly larger in horizontal direction, compared to the uncompensated display of example 1, and is also improved in vertical direction. In **Fig. 7b** and **7c** it can be seen that the grey levels 7 and 8 in vertical direction are improved at negative angles, compared to the uncompensated display of example 1, and are also improved in horizontal direction, where they cross at angles of -45 ° and + 45 °.

#### Example 4

A compensated TN-LCD device in the O mode according to the present invention as depicted in **Fig. 4** consists of a TN cell 1 with a

liquid crystal layer in a twisted nematic state, a pair of linear polarizers 2,2', two splayed O plates 3,3' and two DAC films 4,4' having the optical properties of a negative C plate and serving as substrates for the O plates 3,3'.

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The TN cell 1 and the polarizers 2,2' are as defined in example 1.

The parameters of the O plates 3,3' are as follows

10	$\theta_{\min}$	2 °
	$\theta_{\max}$	88 °
	$\theta_{\text{ave}}$	45 °
	$n_e$	1.610
	$n_o$	1.495
15	d	1.281 $\mu\text{m}$
	retardation	74 nm

The parameters of the DAC films 4,4' are as follows

20	$n_x$	1.4803
	$n_y$	1.4892
	$n_z$	1.4777
	d	930 $\mu\text{m}$
	retardation	12 nm (on-axis)
25		71 nm (at 60 ° incidence)

wherein the x and y are directions parallel and z is the direction perpendicular to the plane of the DAC film.

30 **Fig. 8a** shows the isocontrast plot of the display, **Fig. 8b** and **8c** show the grey levels in horizontal and vertical directions respectively.

In the isocontrast plot **Fig. 8a** it can be seen that the display has a viewing angle that is significantly larger in vertical direction,  
35 compared to the uncompensated display of example 2, and is also improved in horizontal direction. In **Fig. 8b** and **8c** it can be seen that



the grey levels 7 and 8 in vertical direction are improved at negative angles, compared to the uncompensated display of example 2, and are also improved in horizontal direction, where they cross at angles of  $-45^\circ$  and  $+45^\circ$ .

5

The preceding examples can be repeated with similar success by substituting the generically or specifically described reactants and/or operating conditions of this invention for those used in the preceding examples.

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From the foregoing description, one skilled in the art can easily ascertain the essential characteristics of this invention, and without departing from the spirit and scope thereof, can make various changes and modifications of the invention to adapt it to various conditions and usages.

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### Patent Claims

1. Optical compensator for liquid crystal displays comprising
  - at least one O plate retarder,
  - at least one diacetyl cellulose (DAC) film having the optical properties of a negative C plate.
2. Optical compensator according to claim 1, characterized in that the average tilt angle  $\theta_{ave}$  in said O plate retarder is from 2 to 88°.
3. Optical compensator according to at least one of claims 1 and 2, characterized in that the tilt angle in said O plate retarder varies monotonously in a direction perpendicular to the plane of the film from a minimum value  $\theta_{min}$  at one surface of the film to a maximum value  $\theta_{max}$  at the opposite surface of the film.
4. Optical compensator according to claim 3, characterized in that  $\theta_{min}$  is from 0 to 80°.
5. Optical compensator according to claim 3 or 4, characterized in that  $\theta_{max}$  is from 10 to 90°.
6. Optical compensator according to at least one of claims 1 to 5, characterized in that the thickness of said O plate is from 0.1 to 10  $\mu\text{m}$ .
7. Optical compensator according to at least one of claims 1 to 6, characterized in that the optical retardation of said O plate is from 6 to 300 nm.
8. Optical compensator according to at least one of claims 1 to 7, characterized in that the thickness of said DAC film is from 20 to 200  $\mu\text{m}$ .

9. Optical compensator according to at least one of claims 1 to 8, characterized in that the on-axis optical retardation of said DAC film is from 2 to 100 nm.

5 10. Optical compensator according to at least one of claims 1 to 9, characterized in that said O plate comprises a linear or crosslinked polymerized liquid crystalline material with a tilted or splayed structure.

10 11. A liquid crystal display device comprising the following elements

- a liquid crystal cell formed by two transparent substrates having surfaces which oppose each other, an electrode layer provided on the inside of at least one of said two transparent substrates and optionally superposed with an alignment layer, and a liquid crystal medium which is present between the two transparent substrates,
- a polarizer arranged outside said transparent substrates, or a pair of polarizers sandwiching said substrates, and
- at least one optical compensator according to at least one of claims 1 to 10 being situated between the liquid crystal cell and at least one of said polarizers,

it being possible for the above elements to be separated, stacked, mounted on top of each other, coated on top of each other or connected by means of adhesive layers.

12. A liquid crystal display device according to claim 11, characterized in that it is a TN, HTN or STN display.

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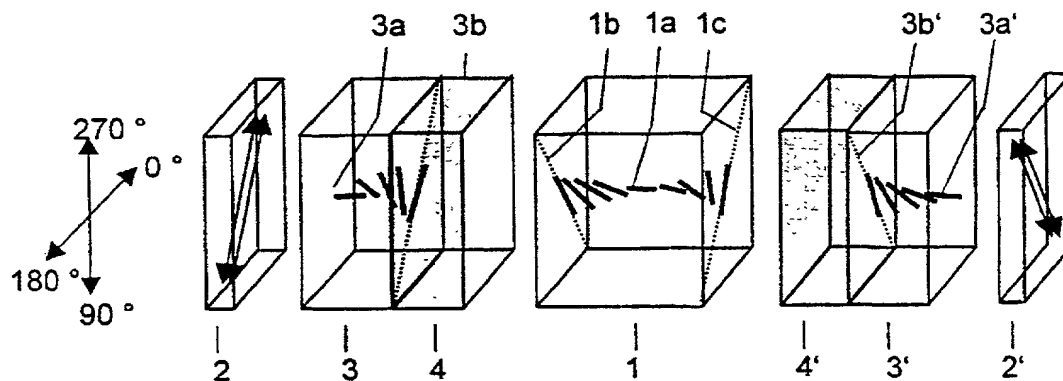
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(54) Title: OPTICAL COMPENSATOR AND LIQUID CRYSTAL DISPLAY



(57) Abstract: The invention relates to an optical compensator for liquid crystal displays comprising: at least one O plate retarder (3, 3'); at least one DAC film (4, 4') having the optical properties of a negative C plate retarder, and further relates to a liquid crystal display comprising such a compensator.

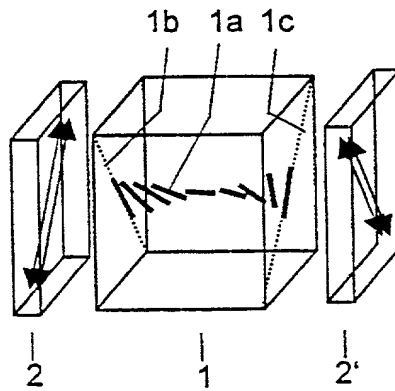


Figure 1

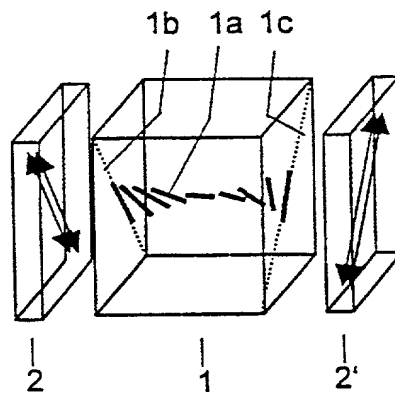


Figure 2

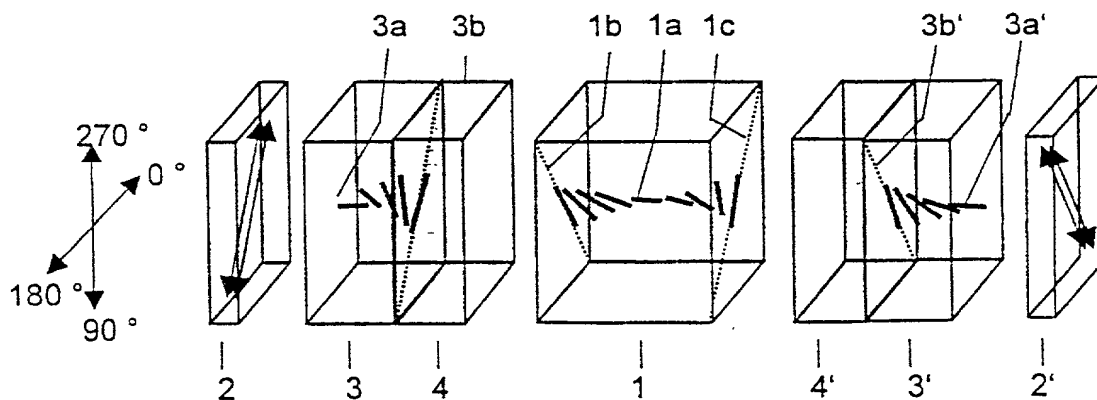


Figure 3

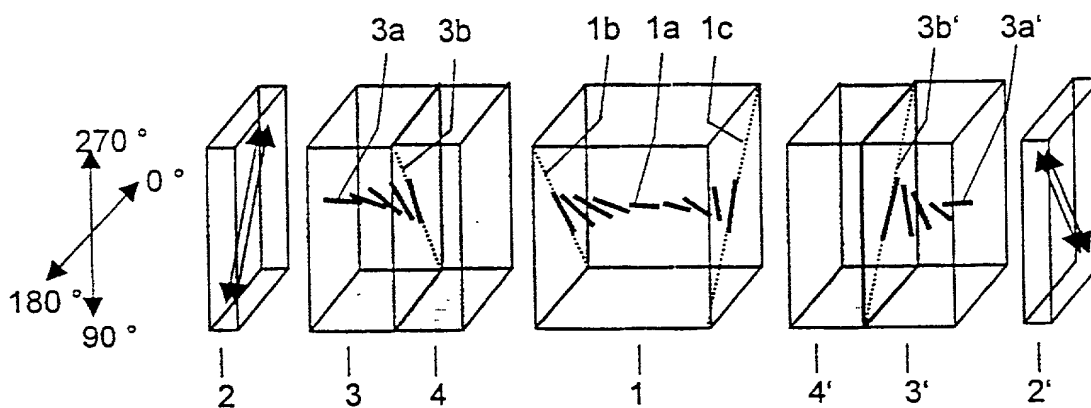


Figure 4

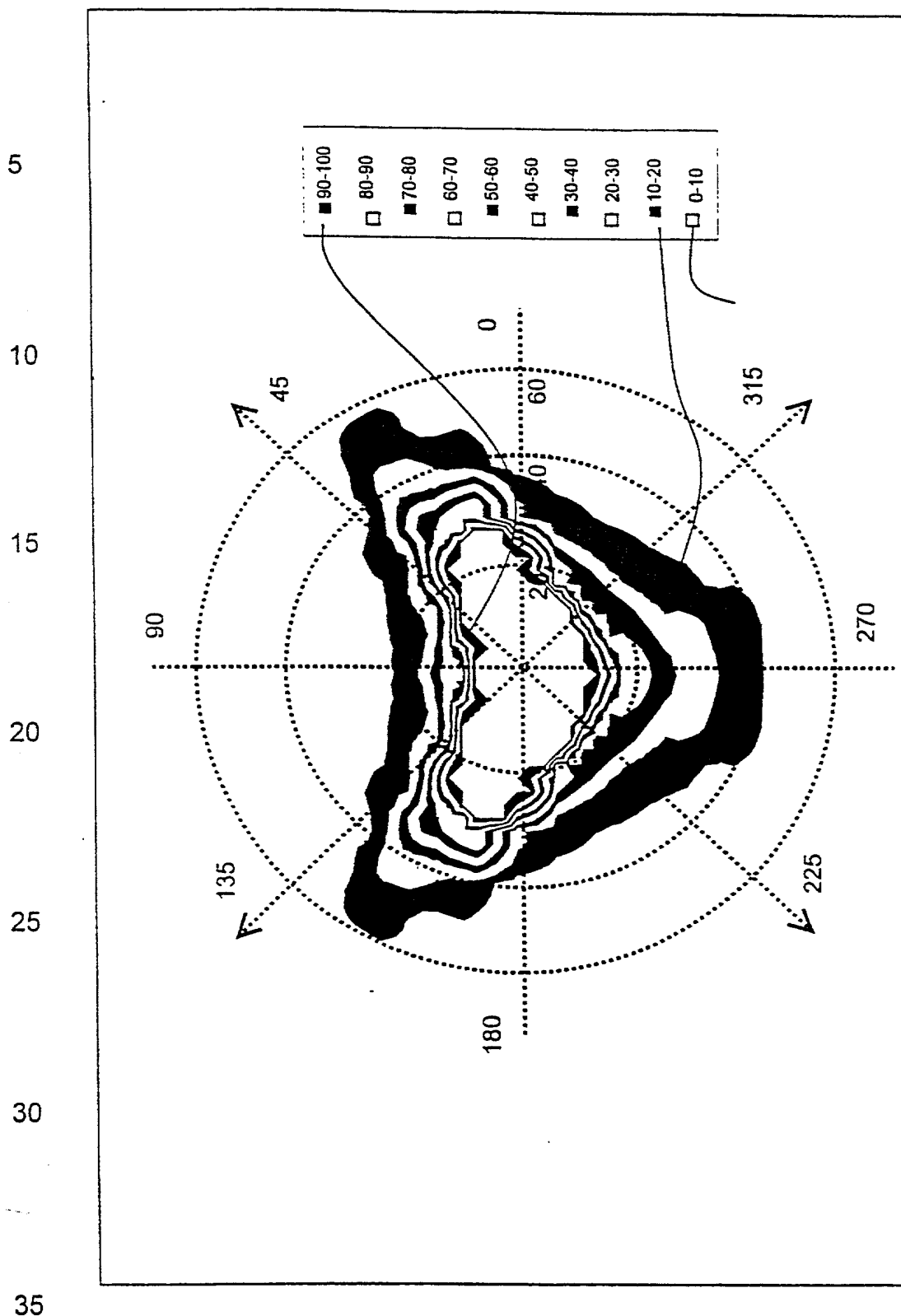


Figure 5a

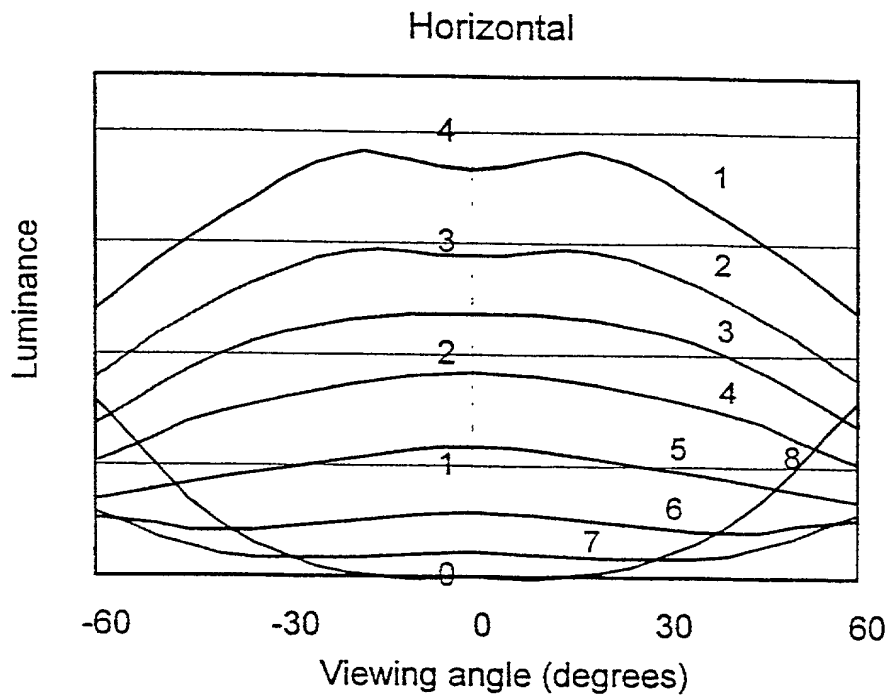


Figure 5b

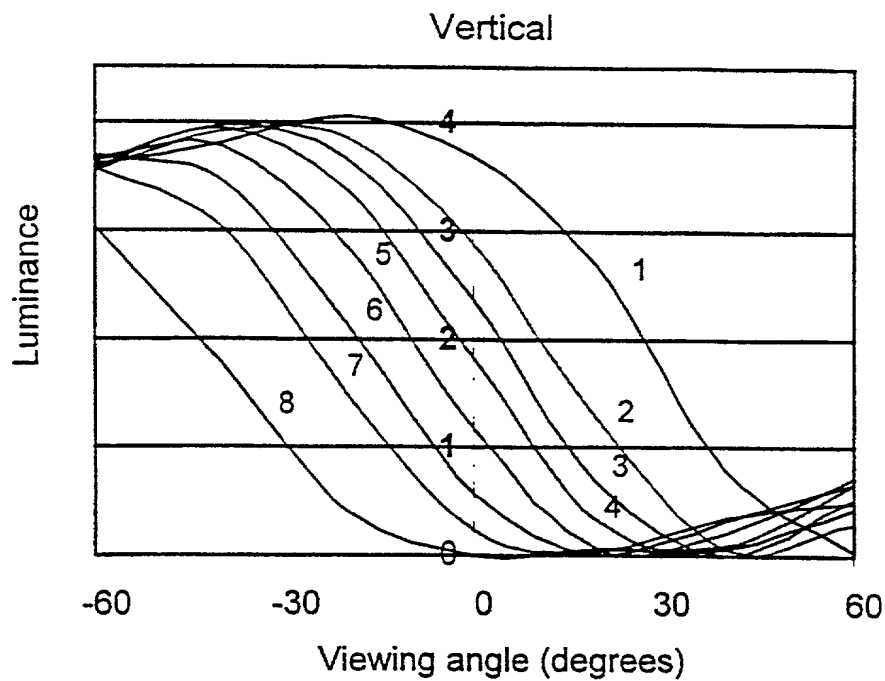


Figure 5c



2030ED" 69E8800T

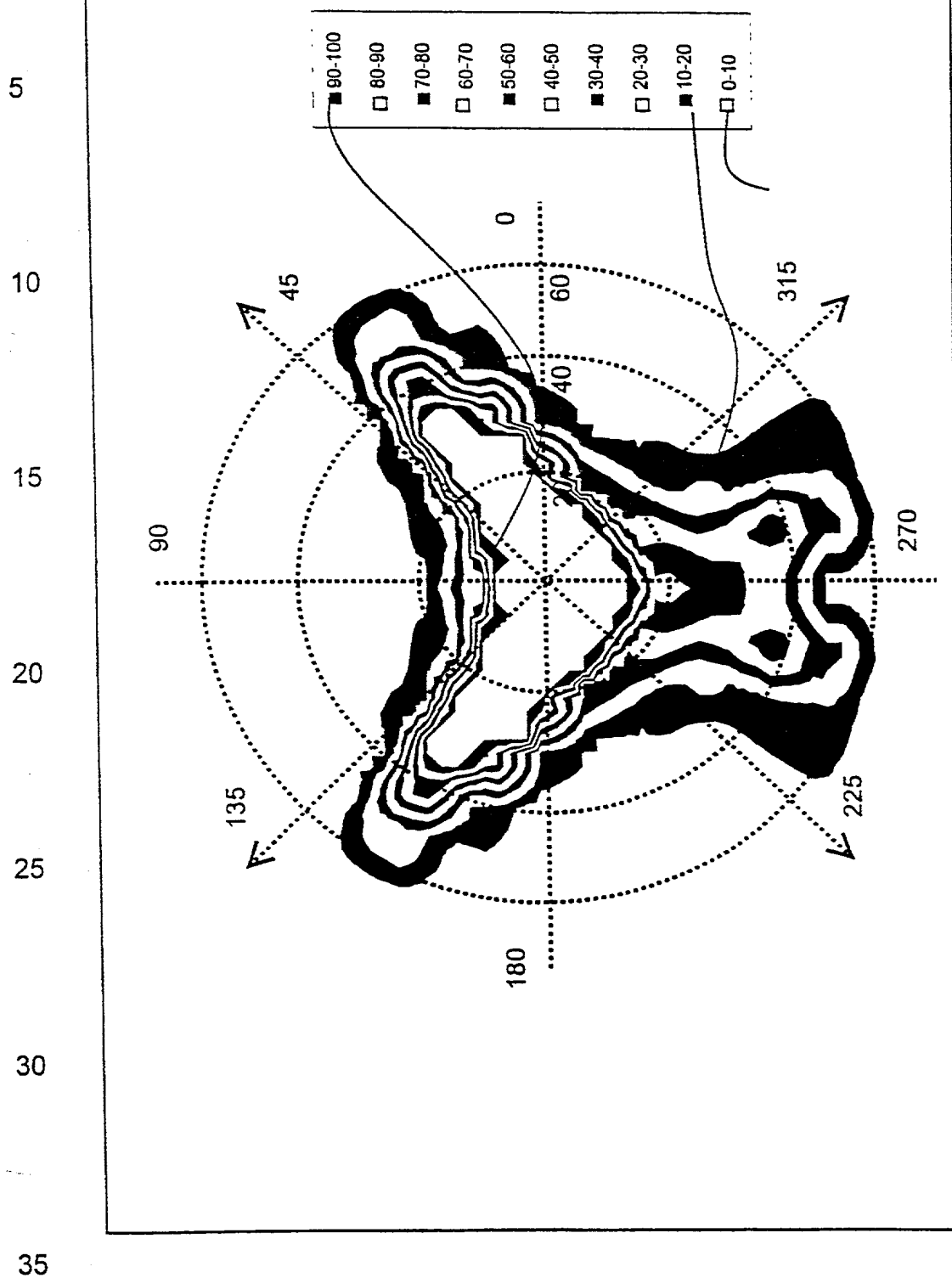


Figure 6a

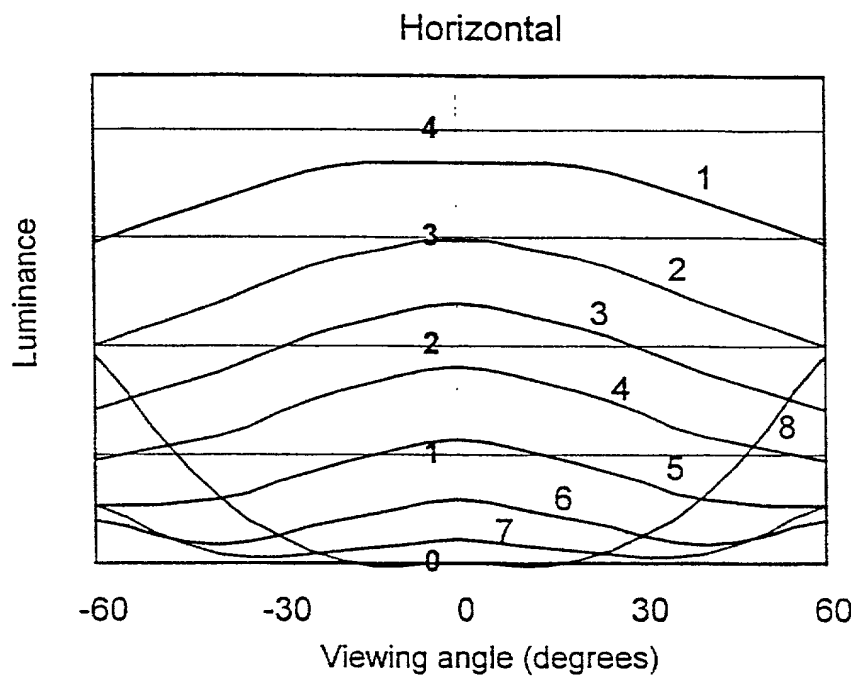


Figure 6b

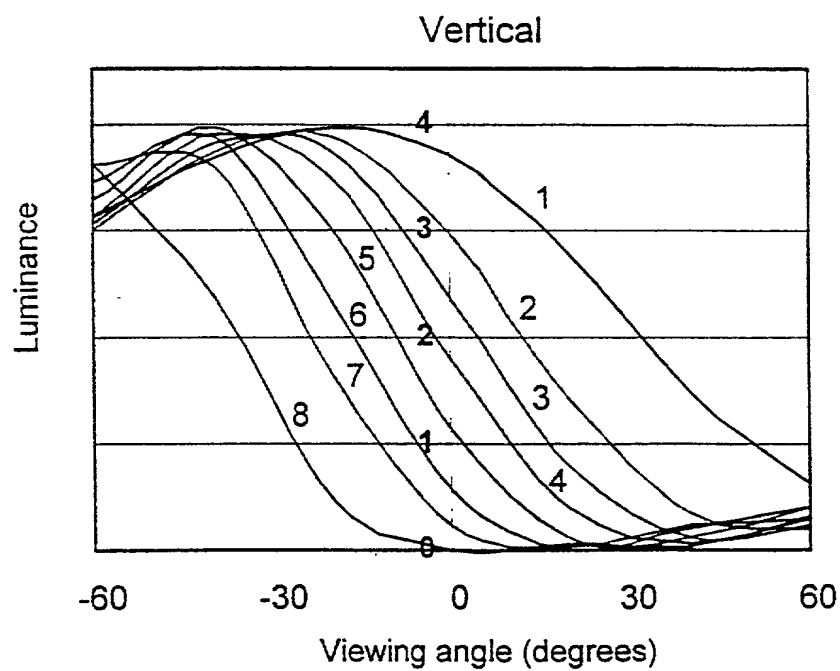


Figure 6c

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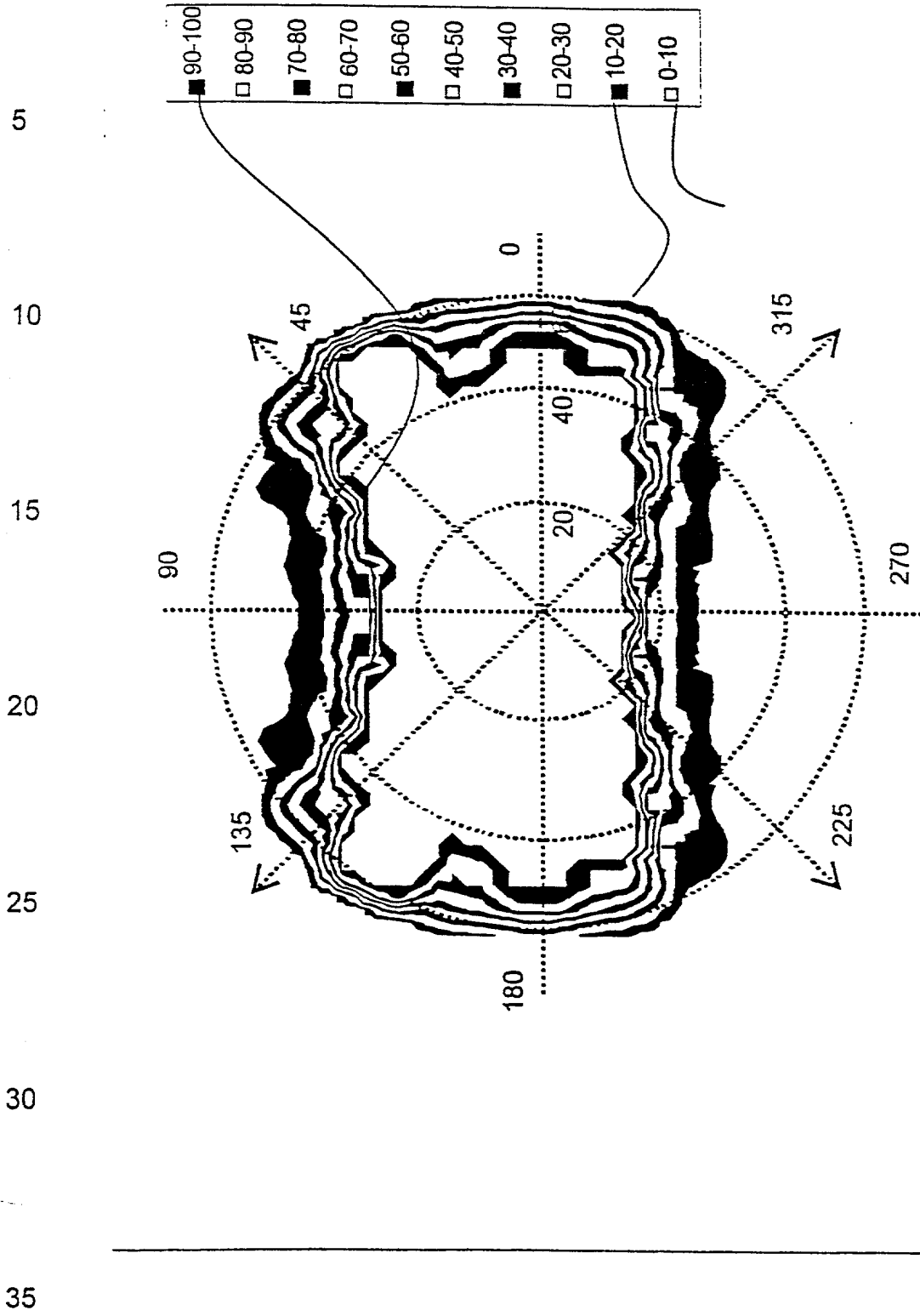


Figure 7a

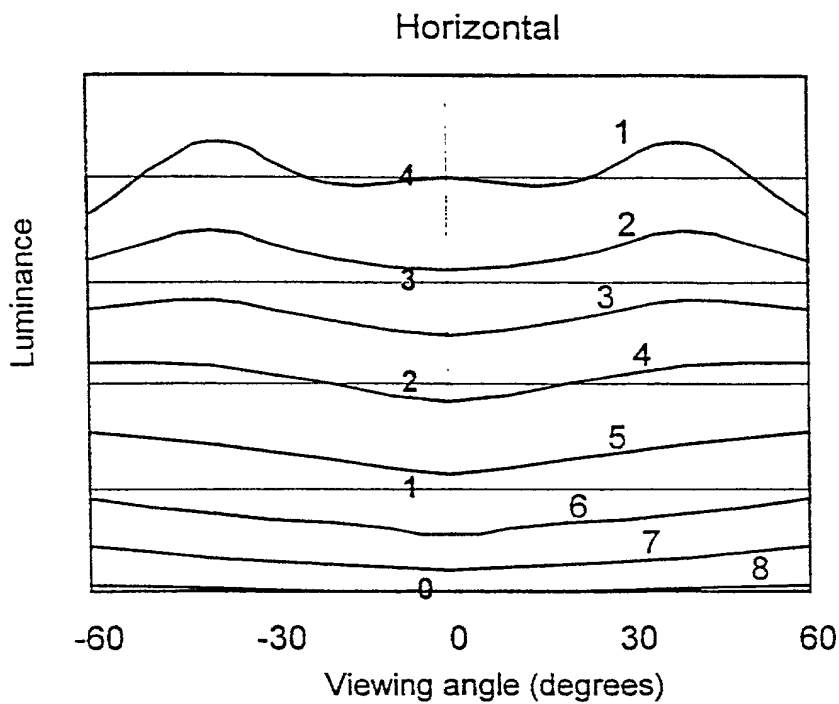


Figure 7b

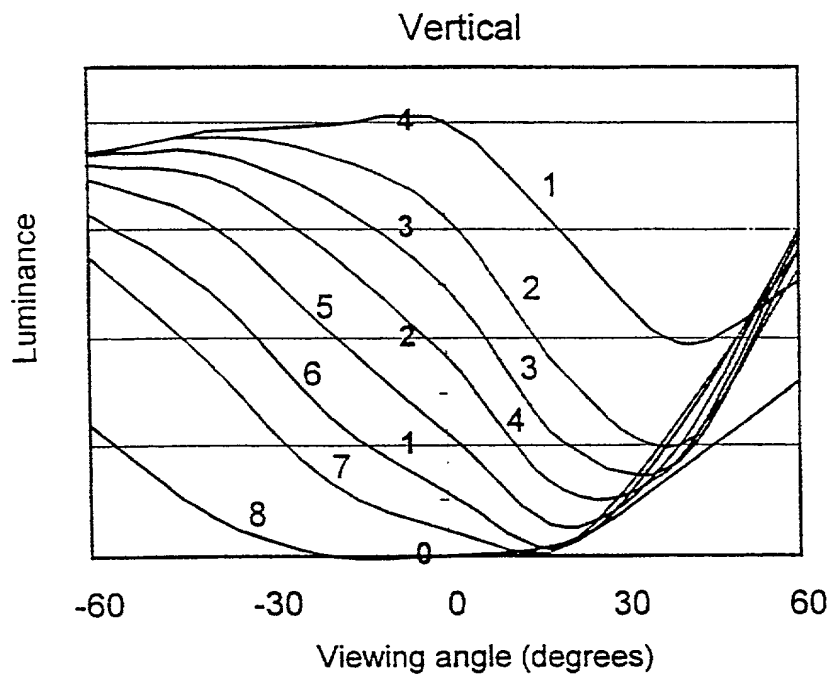


Figure 7c

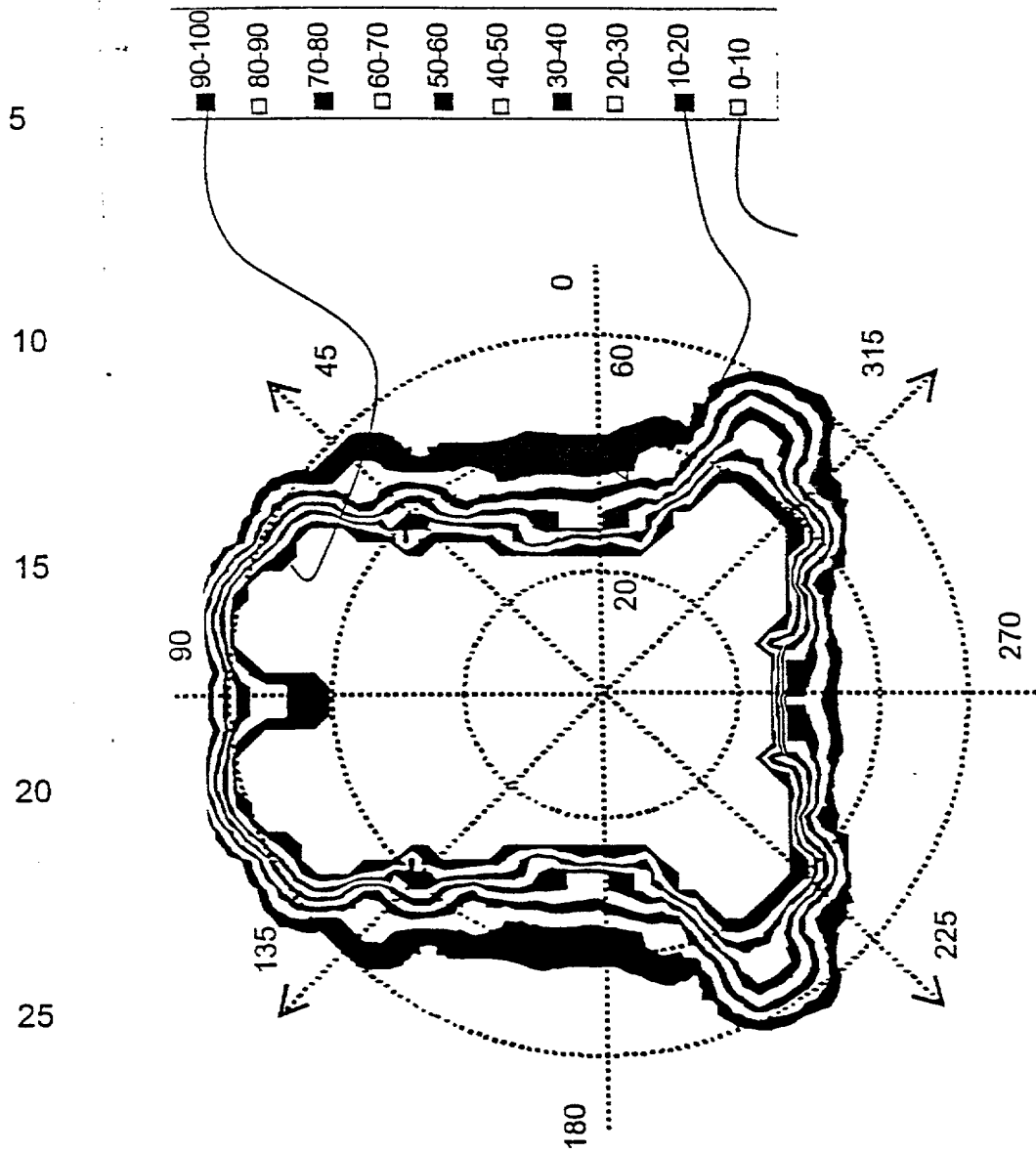


Figure 8a

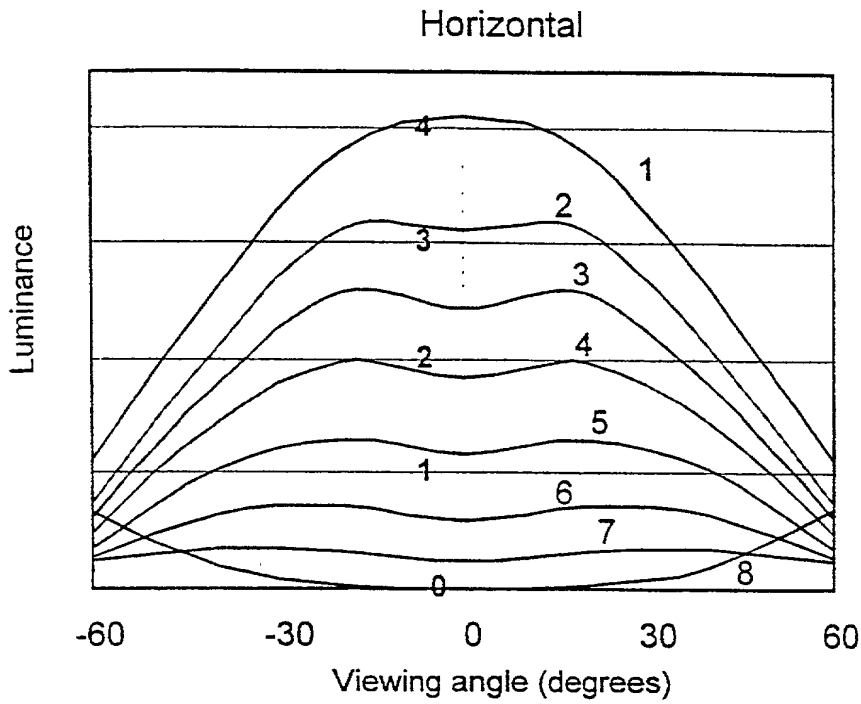


Figure 8b

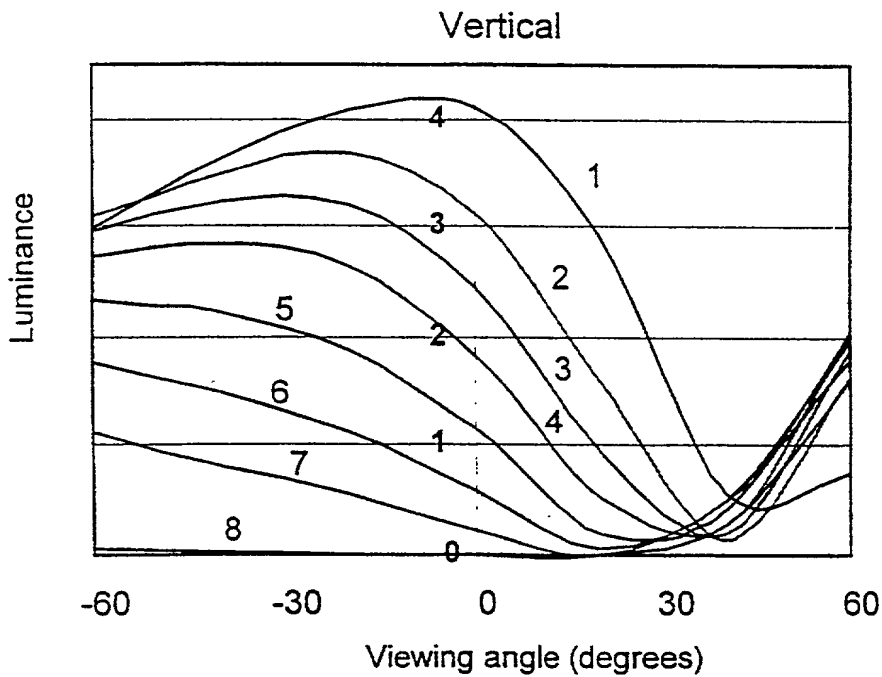


Figure 8c

Docket No. Merck
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## Declaration and Power of Attorney For Patent Application English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Optical Compensator and Liquid Crystal Display

the specification of which

(check one)

☐

is attached hereto.

☒

was filed on 13 September 2000 as United States Application No. or PCT International Application Number PCT/EP00/08935  
and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

99 117 977.1  
(Number)

EP  
(Country)

16 September 1999  
(Day/Month/Year Filed)

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\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
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(Number)

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(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

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I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
(Application Serial No.)

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(Filing Date)

\_\_\_\_\_  
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(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

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\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
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\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
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(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



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11e

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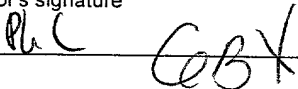
1-00

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Fifth inventor's signature	Date
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Post Office Address	

Full name of sixth inventor, if any	
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